

Docket No.: PH114205.2402/K Z15101.02402  
Customer No. 27160

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William G. KERR

Application Serial No. 09/955,174

Filed: September 19, 2001



: Group Art Unit: 1614

: Examiner: *Unassigned*

For: CONTROL OF NK CELL FUNCTION AND SURVIVAL BY MODULATION OF SHIP ACTIVITY

**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Submitted herewith are the following documents for filing in the above-referenced application:

1. Response to Notice to File Missing Parts of Nonprovisional Application;
2. Copy of Notice to File Missing Parts of Nonprovisional Application;
3. Executed Combined Declaration and Power of Attorney;
4. Petition for a 2-month Extension of Time;
5. Change of Correspondence Address;
6. Preliminary Amendment; and
7. Return receipt postcard.

No additional fees are believed to be necessary. However, the Commissioner is hereby authorized to charge any additional fees due, or to credit any overpayment, to Deposit Account No. 50-1710.

Respectfully submitted,

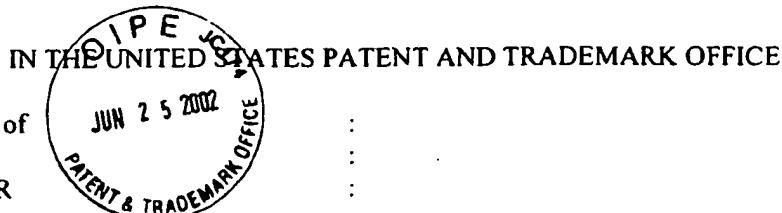
*Corinne M. Pouliquen*  
Corinne M. Pouliquen  
Registration No. 35,753

Patent Administrator  
KATTEN MUCHIN ZAVIS  
525 West Monroe Street, Suite 1600  
Chicago, IL 60661-3963  
Fax: (312) 906-1021

Date: 2/25/02

Docket No.: PH114205.2402/KMZ15101.02402  
Customer No. 27160

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In re Application of

William G. KERR

Serial No. 09/955,174

: Group Art Unit: 1614

Filed: September 19, 2001

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For: CONTROL OF NK CELL FUNCTION AND SURVIVAL BY MODULATION OF SHIP  
ACTIVITY

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION

Commissioner for Patents  
Washington D.C. 20231

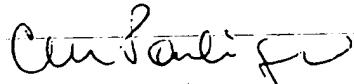
Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated October 24, 2001 in the above-referenced application, please find enclosed the following documents:

1. Copy of Notice to File Missing Parts of Nonprovisional Application.
2. Executed Declaration and Power of Attorney;
3. Please charge the fee of \$65.00 for the declaration surcharge for a small entity applicant to Deposit Account No. 50-1710. A duplicate copy of this paper is enclosed.

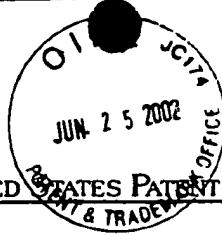
No additional fees are believed necessary. However, the Commissioner is authorized to charge any additional fees, or to charge any under or overpayment for this matter to Deposit Account No. 50-1710.

Respectfully submitted,  
KATTEN MUCHIN ZAVIS

  
Corinne M. Pouliquen  
Registration No. 35,753

525 West Monroe Street  
Suite 1600  
Chicago, IL 60661-3693  
Facsimile: (312) 902-1061

Date: 2/25/02  
DC/4124185v1.14205.2402/15101.02402



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,174	09/19/2001	William G. Kerr	114205.2402

CONFIRMATION NO. 9411

## FORMALITIES LETTER



OC000000006926381

PEPPER HAMILTON LLP  
 600 FOURTEENTH STREET, N.W.  
 WASHINGTON, DC 20005

Date Mailed: 10/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



The Patent Office acknowledges, and has stamped hereon, the date of receipt of the items checked:

DUE DATE: 2/24/02

SMALL ENTITY

Today's Date: 2/25/02

( ) Information Disclosure Statement w/  
PTO 1449

Doc. No. 114205.2402

( ) Assignment and Fee

Applicants: Wm. G. Kerr

Response to Missing Parts - decl. surcharge

\$65.00

( ) Response to Restrict/Elect. Req.

Amendment - Preliminary

( ) Supplemental/Substitute Declaration

( ) Request for Approval of Drawing Revisions

Change of Address/Associate Power of Atty

( ) Notice of Appeal and Fee

( ) Appeal Brief (3 copies) and Fee

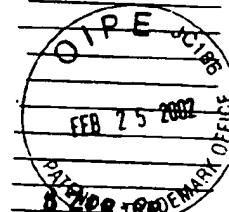
( ) Reply Brief (NO FEE)

Extension of Time 2 months

( ) Formal Drawings \_\_\_\_\_ sheets

( ) Issue Fee

Other: Executed Compl'd Decl. A 703



Appl. No. 09/1955,174

Filed: 9/19/01

Group Art Unit: 1614

Examiner \_\_\_\_\_

Charge to  
Deposit Acct. \_\_\_\_\_

Charge to  
Deposit Acct. 50-1710 Init: CMP:nc

Total: \$265.00